

#### ADULT SOCIAL CARE SCRUTINY COMMISSION

#### 23 January 2018

Further to the agenda for the above meeting which has already been circulated, please find attached the following item which the Chair has agreed to take as Urgent Business:-

#### **Proposed VCS Prevention & Wellbeing Grant Fund**

The Strategic Director submits an Executive Decision Report which considers whether to proceed with the proposed Prevention and Wellbeing Grant Fund in light of the consultation responses received. The Assistant City Mayor for Adult Social Care is seeking the view of the Scrutiny Commission.

The Chair of the Commission has agreed to accept this item as urgent business, and provides the following message:

Dear Scrutiny member

Please see attached report, which I have agreed to be included as an agenda item for the next ASC Scrutiny Commission meeting on 23<sup>rd</sup> January 2018. The reason for agreeing to include the report relates to the VCS requesting clarity on the proposal to introduce the Wellbeing Grant. Rather than wait until our next meeting in March 2018 I have agreed to include the report so the outcome of the consultation and decision whether to proceed or not can be shared with the VCS.

Kind regards Virginia Cleaver – Chair of the ASC Scrutiny Commission

The Adult Social Care Scrutiny Commission is asked to consider the report and make any comments for the Assistant City Mayor to consider.

Thank you.



Angie Smith Democratic Support Officer Tel: 0116 454 6357

Email: Julie.harget@leicester.gov.uk

### **AOUB**

## **Executive Report**

# Proposed VCS Prevention & Wellbeing Grant Fund

Decision to be taken by: Assistant City Mayor, Adult

Social Care

Decision to be taken on: TBC

Lead director: Steven Forbes



#### **Useful information**

■ Ward(s) affected: All

■ Report author: Ben Smith

■ Author contact details: ben.smith2@leicester.gov.uk ext. 37 4801

■ Report version number: 1

#### 1. Summary

- 1.1 A consultation exercise took place between 14<sup>th</sup> June and 31<sup>st</sup> August 2017 to determine if there was interest in the creation of a Prevention and Wellbeing Grant Fund. The fund was intended to give the voluntary sector better opportunity to provide diverse and innovative approaches to prevention.
- 1.2 The proposed Prevention and Wellbeing Grant Fund would be financed by using up to £750,000 one off monies from ASC budget underspends in 2016-17, creating an annual fund of £250k for up to 3 years with effect from 1st April 2019, with the fund being launched in June 2018.
- 1.3 The purpose of the grant fund was to help people who are at risk of developing social care needs to avoid or delay those needs, to maintain and improve their health and wellbeing, and to live as independently as possible. The proposed grant allowance would be for up to three projects per organisation per annum and £10,000 per project giving a maximum funding envelope of £30,000 per annum, per organisation.
- 1.4 It was also intended that the fund would provide an opportunity for organisations that may lose out as a result of ASC reducing funding to the VCS, to bid to receive funding for their current or alternative activities. However the fund would be open to any VCS groups who could evidence need and demonstrate that they were capable of responding to those needs. Separate reviews are in progress for services affected by the reductions and these will be shared with Scrutiny in due course.
- 1.5 Overall the responses indicated that there was no clear coherent perception in support of the grant. Out of 113 respondents, the majority 33% said they agreed with all of the key features of the proposal. However, 40% of respondents either didn't agree with any of the key features of the proposal, didn't answer the question or preferred not to say. A total of 27% of respondents agreed with just some of the key features of the proposals.
- 1.6 Further analysis of the responses that did not agree with some or all of the key features provided the following themes on reasons for disagreeing with the grant proposals; 44% of responses indicated that they thought the proposals were another way of imposing cost savings by the Council or that the proposed maximum levels of funding (£10k per project) were not large enough.

#### 2. Recommendations

2.1 Executive is recommended to

- a) consider the consultation report set out in Appendix A
- b) decide whether to proceed with the proposed Prevention and Wellbeing Grant Fund in light of the consultation responses received.

#### 3. Supporting information including options considered:

- 3.1 It was agreed by the Executive on 25 May 2017 to consult on the proposal to set up a Prevention and Wellbeing Grant Fund using up to £750,000 one off monies. This would create an annual fund of £250k for up to 3 years with effect from 1st April 2019, with the fund being launched in June 2018.
- 3.2 It was proposed that the fund would be targeted at VCS organisations to support people who are at risk of developing social care needs to avoid or delay those needs, to maintain and improve their health and wellbeing, and to live as independently as possible.
- 3.3 It was also intended to provide an opportunity for organisations that may lose out as a result of ASC making savings to bid to receive funding for their current or alternative activities. The consultation exercise with the VCS regarding the proposed changes was paused as a result of feedback from providers. In response to the feedback we have engaged with providers to ascertain their views on future service models. This engagement exercise is supporting the development of proposals for future services, which will be shared with Scrutiny prior to the re-launch of the consultation in the near future.

#### Overview of consultation responses

- 3.4 Overall from the responses there was no clear coherent perception in support of the grant. Out of 113 respondents:
  - 33% said they agreed with all of the key features of the proposal,
  - 40% of respondents either didn't agree with any of the key features of the proposal, didn't answer the question or preferred not to say.
  - A total of 27% of respondents agreed with just some of the key features of the proposals:
- 3.5 Further analysis of the responses that did not agree with some or all of the key features of the proposal shows that 44% thought the proposals were another way of imposing cost savings by the Council or that the proposed maximum levels of funding (£10k per project) were not large enough.
- 3.6 There was no consensus on the type of service users that should be targeted for support through the proposed grants scheme:
  - 38% suggested the grant should be targeted at all groups,
  - 30% proposed that the target should be to focus on the elderly,
  - 25% recommended that the grant be targeted at people with a disability; and
  - 5% suggested that the grant be focused at supporting young people.
- 3.7 When asked what types of activities should be supported there was a broad

response with no particular type of activity proving overwhelmingly popular:

- 17(20%) stated Isolation / Communication Activities,
- 14 (16%) stated Lunch Clubs,
- 12 (14%) Carer Visits / Support,
- 11(13%) All Types of Support Needed,
- 11(13%) Other and
- 10 (11%) Vista Support. Small numbers of respondents also mentioned Mental Health, Physical Activity, Young Age Group and Finally Don't Understand / More Information.
- 3.8 The top three areas highlighted were Isolation / Communication Activates, Lunch Clubs and Carer Visit / Support. Vista support scored highly in the type of projects that respondents thought should be supported. Vista received additional funding from Leicester City Council to translate the consultation materials into accessible formats for the sensory impaired to assist their service users in completing the consultation survey. We are not aware that any other organisation requested similar funding so this may explain the high level of support expressed for Vista.
- 3.9 There was also a relatively even split when respondents answered the question on whether there should be specific areas of the City subject to targeting through the grant scheme:
  - 37% agreed that specific areas of the City should be targeted,
  - 28% didn't agree with this and
  - 35% didn't know or weren't sure.
- 3.10 Respondents were asked for any further comments and a total of 51 respondents completed this section of the consultation survey, 22 of these comments did not support the proposed approach and 19 expressed support, the remaining comments were neutral. Examples of supportive comments were; "we have to agree, the alternative is nothing" and "The grant fund will allow for innovative solutions to be developed providers who have knowledge and expertise in certain fields". There were, however, a substantial number of comments that expressed significant reservations regarding the grant proposal. Comments such as "No, I think current funding should be kept as it is" and "I agree with the proposal, but this should be in addition to currently funded service/projects, not as a replacement" and "The grant fund needs to be long term to ensure continuity" demonstrate that even where respondents were supportive of the proposal there were significant concerns over whether the grant fund would be sustainable or any more effective that the current position.
- 3.11 Finally, respondents were asked: Overall, do you agree or disagree with the proposal to set up a grant fund for adult social care prevention and wellbeing? Please tick one box.

The majority of respondents (44%) agreed with the proposals but a high percentage of respondents either didn't know or weren't sure whether they agreed and when these were added to those who disagreed with the proposals, 56% of respondents did not express support for the grant scheme.

#### **Summary of findings**

3.12 As described above the consultation into the proposed VCS Prevention and Wellbeing Grant scheme did not demonstrate overwhelming support and there was little consensus around the types of beneficiaries, services and areas of the City that should be supported.

#### 4. Details of Scrutiny

The Strategic Director for ASC presented a report to the Scrutiny Commission on 29<sup>th</sup> June 2017, which provided an overview of the proposed changes to preventative services, which included details of the prevention and well-being grant fund. The Grant fund was generally considered to be a positive initiative. Concerns were expressed that the remit of the grant should include questions about longer term sustainability of services. The Strategic Director explained that the grant gave a modest sum of money to groups to help them get their project started and it was not aimed at long term investment in specific projects. Concerns were also raised about the application process for the grant needing to be simple. Next steps from the Commission: the consultation feedback to come to a future meeting.

#### 5. Financial, legal and other implications

#### 5.1 Financial implications

5.1 There are no direct financial implications from this report. However, if we decide to go ahead with the grant scheme the one-off funding of £750k over 3 years is available.

Rohit Rughani Principal Accountant X37 4003

#### 5.2 Legal implications

There are no legal implications arising directly from the recommendations of this report. In terms of consultation responses, in order for consultation to be meaningful, the responses must be fully considered prior to and during the making of the final decision.

In the event there are any significant changes to the proposal put to consultation which are not arrived at as a consequence of the consultation responses and which are markedly different so as to be an issue in light of the Sedley principles of fairness consultation should be considered. For the avoidance of doubt this would not include deciding to take no further action and maintaining the status quo however legal advice should be sought on the final proposal as a result of this report.

Emma Horton, Head of Law (Commercial, Property & Planning)

#### 5.3 Climate Change and Carbon Reduction implications

No Climate Change Implications	

#### 5.4 Equalities Implications

Under our Public Sector Equality Duty, when making decisions, the decision maker must be clear about any equalities implications of the course of action proposed. In doing so, it must consider the likely impact of those likely to be affected by the recommendation; their protected characteristics; and (where negative impacts are anticipated) mitigating actions that can be taken to reduce or remove that negative impact. The outcome of the fund consultation process would need to be considered as there was no clear coherent perception in support of the grant, therefore the equality implications would need to be considered for the full range of options as part of any continuing work in relation to the grant fund being established.

Sukhi Biring, Equalities Officer

5.5 Other Implications (You will need to have considered other implications in preparing this report. Please indicate which ones apply?)	

6. Background information and other papers:

25<sup>th</sup> May 2017: Executive: ASC VCS Prevention Services

7. Summary of appendices:

Appendix A – Consultation Report

8. Is this a private report (If so, please indicated the reasons and state why it is not in the public interest to be dealt with publicly)?

No

Consultation findings will be published on the website further to the outcome of this report

9. Is this a "key decision"?

Yes

10. If a key decision please explain reason

Due to the financial implications

### **Consultation report**

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#### **EXECUTIVE SUMMARY**

#### Purpose of the consultation

Adult Social Care carried out a consultation during 2017 on setting up a proposed new Prevention & Wellbeing Grant Fund. The consultation ran from 14<sup>th</sup> June to 1<sup>st</sup> September 2017.

The consultation was carried out as part of a review of VCS prevention services. The review had 3 aims:

- 1. To establish the future direction for ASC's VCS prevention offer;
- 2. To create a grant fund, using ASC underspends, which will empower the voluntary sector to provide more flexible and tailored solutions to help manage the risks of people developing needs for social care support.

The purpose of the consultation was to gather views on the outline proposals for the grant fund.

In addition to carrying out consultation on the grant fund, the council also consulted over proposed changes to prevention services commissioned by Adult Social Care from the voluntary and community sector. The report on that consultation is also available.

#### Summary of methods used in the consultation

The consultation used two main methods:

**Survey** A survey to gather views on the grant fund was carried out. It was provided online and also made available in print. Printed versions were distributed to council community centres, libraries and to the Customer Services Centre on Granby Street.

**Meetings** Separate meetings were held with each provider scoped into the consultation on services.

A number of other meetings were also held or attended.

In addition, a poster advertising the consultation was distributed to all council buildings, GP surgeries and providers scoped into the review. Information about the consultation was also published in VAL's weekly E-Briefing.

**Consultation event** A consultation event was held at the Leicester Adult Education College on 18<sup>th</sup> July 2017.

#### **Summary of findings**

From the 113 responses to the proposed Prevention and Wellbeing Grant Fund consultation it is apparent that there was not overwhelming support for Leicester City Council's proposals.

The main findings from the consultation were that whilst there was some support for the grant scheme, respondents were concerned regarding the sustainability of projects funded through grants, the requirement for constant innovation and that the maximum funding amount (£10,000 per project, per year) would not be sufficient to deliver effective interventions. Several respondents also commented that the grants fund appeared to be a way of imposing cuts to the VCS by stealth.

#### **MAIN REPORT**

#### <u>Introduction</u>

#### Purpose of the consultation

Adult Social Care carried out a consultation during 2017 on a proposed Prevention & Wellbeing Grant Fund. The consultation ran from 14<sup>th</sup> June to 1st September 2017.

The consultation was carried out as part of a wider review of VCS prevention services. The review has 3 aims:

- 1. To establish the future direction for ASC's VCS prevention offer;
- 2. To achieve savings targets for 2018-19 of £790,000 on VCS services; and
- 3. To create a grant fund, using ASC underspends, which will empower the voluntary sector to provide more flexible and tailored solutions to help manage the risks of people developing needs for social care support.

In addition to carrying out consultation on the grant fund, the council also consulted over proposed changes to prevention services commissioned by Adult Social Care from the voluntary and community sector. The report on that consultation is also available.

#### **Consultation methods**

#### Survey method

The consultation was advertised using a poster distributed to all council facilities and GP surgeries in the city, and it was publicised via the weekly VAL E-Briefing

The survey was carried out using the council's Consultation Hub. The survey was also made available in printed form in libraries, community centres, at the Customer Services Centre on Granby Street and provided on request.

The survey was also made available in Easy Read and Vista provided versions accessible to people with sight loss.

A copy of the survey is at Appendix A

#### Meetings method

Overall, officers had 18 meetings as part of the consultation.

Meetings were held with each of the providers whose services were scoped into the review.

The slide covering the proposals on the grant fund is shown at Appendix B.

Officers attended further scheduled meetings where requested and also offered to attend other meetings, for example with service users if requested.

#### **Consultation findings**

#### Survey responses

Overall 113 people completed the survey either online or on paper.

The main demographic characteristics of respondents were:

**Age** 38% of respondents were in the 66+ age group. The next biggest age group was 56-65 (16%).

**Gender** 55% were female and 33% were male.

**Ethnicity** The largest ethnic group was "White: British at 52%. The next biggest group was 'Asian or Asian British: Indian' at 29%.

Religion 31% were Christian, 21% were Hindu and 12% Muslim

**Disability** 51% were disabled. 28% were not disabled and 20% did not answer this question.

**Type of disability** Of those that were disabled, the majority (40%) were those who identified as having a visual impairment. The next two biggest categories were those that had a long standing illness or health condition (20%) and those that had a hearing impairment (15%).

**Sexual orientation** 57% were heterosexual, 20% said they preferred not to say, and 2% said they were bisexual. 20% did not answer the question about sexual orientation.

More detailed information about the characteristics of those completing the survey is available if required.

A detailed outline of the characteristics of those completing the survey from the About You sections and the equalities questions is in Appendix C.

#### **Survey findings**

Respondents were told that the grant fund will be aimed at reducing the risk of people age 18 or over from developing needs for adult social care support.

They were then given the following list of the proposed key features of the grant fund:

1. The overarching aim of the grant fund will be to enable Leicester's voluntary and community sector to provide activities and services for people who are at risk of developing social care needs.

- 2. Projects will be targeted at helping people who are at risk of developing social care needs to avoid or delay those needs, to maintain or improve their health and wellbeing, and to live as independently as possible.
- 3. The grant fund would be launched in June 2018, with successful projects starting delivery from April 2019.
- 4. The overall funding available will be £250,000 a year.
- 5. Organisations will be able to bid for up to £10,000 per project. Each organisation will be able to make up to three bids for up to three different projects.
- 6. Bids can be made for funding for either one or two years (2018-19 and 2019-20). If a bid is made for two years, the funding for the second year will be dependent on whether the project met its objectives in the first year.
- 7. Organisations will be asked how they can make their projects sustainable after council funding ends.
- 8. Successful organisations will be asked to submit an End of Grant report. This report can include case studies, videos, quotes etc.
- 9. The council will run an event in 2019 to showcase successful projects and share learning.
- 10. There will be further rounds of funding in 2019-20 and 2020-21.
- 11. Decisions on bids will be made by a panel of council officers, supported by people who use social care and preventative services.

Respondents were then asked: Overall do you agree with the key features of the proposed grant fund set out here? Please tick one box:

The majority of people 33% said they agreed with all of the key features of the proposal, however, 40% of respondents either didn't agree with any of the key features of the proposal, didn't answer the question or preferred not to say. A total of 27% of respondents agreed with just some of the key features of the proposals:

I agree with all of the key features	37	32.74%
I agree with some of the key features of the proposal	31	27.43%
I don't agree with any of the key features of the proposal	20	17.70%
Not sure / don't know	9	7.96%

Respondents were then asked: If you disagree, please tell us why and/or give alternative proposals:

Overall, there were 18 respondents that provided comments to this question on why they disagreed with the proposal. 8 (44%) stated that the scheme was More about Cost Saving / Funding is too low. Furthermore, 4 (22%) stated they need more Information / Clarity in the area. A further 2 (11%) stated that they wish to Keep the Current System, and finally 4 stated (22%) that there is No Long-term Stability.

One of the respondents commented that: "I don't agree with the principle because you are introducing a new source of funding, needing LCC staff to administer it, bids to be evaluated yet you do not say what the administration costs are for this project"

Another respondent commented that: "limited period grant is no real help, long term stability is needed."

Respondents were then asked: Which groups of people (adults 18 and over) should the grant fund seek to support (for example, people with hearing loss, or older people who are lonely and isolated). Please list who you think the main groups should be and why these groups should be included.

From the consultation 99 people replied to this question and the results shown in descending order that 38 (38%) stated that the priority should be to ensure that all Groups are supported. Following this, the second highest response rate was the Need to Focus on the Elderly, with 30 respondents putting this forward. Next, 25 (25%) stated that the grant fund should target support to people with a disability, and finally 5 (5%) suggested that focussing the grant fund on young people would be positive.

Respondents were then asked: What kinds of projects do you think the fund should seek to fund, and why? Your suggestions can include both existing projects in Leicester or elsewhere that you think work well, or new ideas that you think should be tried out.

In relation to this question, 87 people replied and the results shown in descending order are as follows: 17(20%) stated Isolation / Communication Activities, 14 (16%) stated Lunch Clubs, 12 (14%) Carer Visits / Support, 11(13%) All Types of Support Needed, 11(13%) Other and 10 (11%) Vista Support.

The four remaining types of support people request all recorded 3 (3%), Mental Health, Physical Activity, Young Age Group and Finally Don't Understand / More Information. The top three areas highlighted were Isolation / Communication Activates, Lunch Clubs and Carer Visit / Support.

As referenced above, Vista support scored highly in the type of projects that respondents thought should be supported. This may be due to Vista providing support to their service users to complete the consultation questionnaire.

Respondents were then asked: Should the grant fund be aimed at funding projects in specific areas of the city as well as having some city wide projects? Please tick one box and give reasons for your choice.

The majority of respondents said the grant fund should be aimed at funding projects in specific areas of the city as well as having city-wide projects:

Yes	42	37.17%
No	32	28.32%
Not sure / don't know	39	34.51%

Respondents were then asked: Please tell us if you have any other comments.

A total of 51 respondents completed this section of the consultation survey. Whilst there were some supportive comments such as "we have to agree, the alternative is nothing" and "The grant fund will allow for innovative solutions to be developed providers who have knowledge and expertise in certain fields", there were a substantial number of comments that expressed significant reservations regarding the grant proposal. Comments such as "No, I think current funding should be kept as it is" and "I agree with the proposal, but this should be in addition to currently funded service/projects, not as a replacement" and "The grant fund needs to be long term to ensure continuity" demonstrate that even where respondents were supportive of the proposal there were significant concerns over whether the grant fund would be sustainable or any more effective that the current position.

Finally, respondents were asked: Overall, do you agree or disagree with the proposal to set up a grant fund for adult social care prevention and wellbeing? Please tick one box.

The majority of respondents agreed with the proposals but a high percentage of respondents either didn't know or weren't sure whether they agreed and when these were added to those who disagreed with the proposals, 56% of respondents did not express support for the grant scheme.

I agree with the proposal	50	44.25%
I disagree with the proposal	21	18.58%
Not sure / don't know	42	37.17%

#### **Outcomes of meetings**

A series of meetings were held with providers between 22/06/17 and 13/07/17.

#### Provider A - 03/07/17

Provider A disagreed with the £10,000 limit per project and would prefer that organisations could bid for up to £30,000 to deliver one project. Comments were made that Leicester City Council should use the funding earmarked for the grant scheme to cover the existing services. Provider A was however, supportive of the potential for the grant fund to continue lunch club provision.

#### Provider B - 12/07/17

Staff commented that if the grant scheme was used to fund a one year project, this timescale is too short to successfully demonstrate outcomes.

#### **Provider C 10/07/17**

Staff suggested that the proposals around the Grant fund did not make it clear the organisations could bid for amounts less than £10,000.

#### Provider D - 28/06/17

No comments given regarding grant proposals

#### Provider E - 19/07/17

Representatives expressed reservations around the application process for the proposed grant scheme and commented that there was only one member of the organisation who had the skills and necessary IT literacy to be able to navigate the completion of grant forms. They also suggested that if they were to apply to the grant fund it would be to fund existing provision and would not satisfy the innovation requirement set out in the proposals. Representatives from the organisations also stressed that if proposals were to go ahead the application process would need to be as simple as possible to enable volunteers to apply.

#### Provider F - 10/07/7

Expressed concern that some organisation may use the grant fund to "prop up" other elements of their service delivery

#### **Provider G - 5/07/17**

No comments received

#### Provider H - 16 06 17:

No comments received regarding grant proposals

#### Provider I - 20/06/17

Concerns were raised whether the organisation would receive funding from a competitive grants process. They welcomed the fact that the grant may enable their organisation to provide more than just a lunch club and could provide more flexibility for the service and its users.

#### Provider J - 22/06/17

Were supportive of the grant proposal and the broadening of the potential target audience. Representatives from the group suggested that they were seeing younger people with low level mental health needs and they would like to be able to offer services to them.

#### Provider K - 27/06/17

Representatives from three organisations suggested that it would be difficult to apply for the grants at the same time as applying for other procurement opportunities being carried out by Leicester City Council. There were also numerous questions raised regarding whether groups could bid for grants to fund existing provision, whether there was a minimum number of beneficiaries that would need to be demonstrated and how organisations were supposed to sustain their provision once the grant funding had ceased. There were also concerns raised regarding the complexity of the application process.

#### Provider L – 13/07/17

Participants were pleased that one of the objectives of the new grant fund would be to tackle loneliness and isolation. There was concern that the amount for the grant fund wouldn't cover the needs of those who access the service but who are not eligible for adult social care packages. There was also a fear that lunch clubs might not be prioritised in the new grant fund and that the clubs might not be able to sustain their service which would increase social isolation.

#### Provider M - 13/07/17

Concerns were raised that the proposed maximum grant amount of £10,000 was not enough to fund any staff posts and reservations were voiced regarding the potential for an onerous application process.

#### Provider N - 26/06/17

No comments made regarding grant proposals

#### **Grant Fund Consultation Event: 18th July 2017**

A consultation event was held on 18<sup>th</sup> July 2017. The aim of the event was to involve people from the voluntary and community sector in the design of the proposed grant fund.

14 people attended the event.

The programme is shown at Appendix D.

At the start of the event, respondents were given a short presentation about the VCS review as a whole, the reasons for proposing to set up the grants fund and they key features proposed.

Comments from attendees expressed concern that £250,000 per year would not go far when split between VCS organisations in Leicester. Attendees recommended that some element of the £250,000 be ring fenced for smaller organisations.

Support was given by attendees for the broad target audience of the proposed grant scheme.

Concerns were raised regarding the sustainability of the projects once grant funding ceased. Questions were also raised around how outcomes would be demonstrated and monitored.

Some attendees expressed concerns that the grant fund would be swallowed up by larger organisations with experienced bid writers and smaller organisations would be at a disadvantage. Concerns were also raised regarding the requirement for applications to constantly be innovative.

#### List of appendices

Appendix A – Consultation Survey Document

Appendix B – Power point slide from ASC Presentation

Appendix C – Consultation report

Appendix D – 18th July Grant Fund event programme



Froposals
for an adult social
care Prevention
and Wellbeing
Grant Fund

### Why are we consulting?

The council wants to do as much as it can to prevent people in Leicester from developing social care needs.

This means trying to help people stay independent and in good health, both physically and mentally.

For most of the time, people stay healthy and independent by helping themselves and by getting support from family, friends and the community. In addition, the council helps people by providing universal services such as leisure facilities and neighbourhood services.

However, some people have specific needs, but these needs are not high enough to meet the eligibility criteria for social care support that is set by the government. For these people the council funds social care prevention services to help them reduce, avoid or delay the development of higher levels of need.

The council buys many prevention services from the voluntary and community sector. The voluntary sector has unique strengths in that it is close to communities, often it consists of communities working for themselves. The sector can be very flexible and innovative in understanding people's needs and responding to them effectively.

We want to make more of the ability of the voluntary sector in prevention.

This consultation is about how we do that in the future.

Leicester City Council

#### About the consultation

This consultation is intended to find out what people think about our proposals. No decisions have been made at this stage: it is important to us to hear what people think in order to shape the council's way forward in these areas.

The consultation is in two related parts:

- Setting up a new Prevention and Wellbeing Grant Fund (this survey)
- Changes to the way we commission existing prevention services (go to consultations.leicester.gov.uk/ communications/ ascpreventionservices)

You are welcome to respond to one or both of the consultations.

### **Surveys**

You can complete the surveys:

- online at consultations.leicester.gov.uk or
- by filling in a paper version and sending it back to us using the freepost address:

Freepost RTRZ-YSJY-BEKH
VCS Consultation – Bosworth House
1F West Wing
Leicester City Council
Leicester
LE1 5PH

If you have any other queries about this consultation, you can contact us:

- by email ascconsultations@leicester.gov.uk
- by phone 0116 454 2300

Responses to the surveys must reach us by Friday 28 July 2017.

## What happens after the consultation?

Both parts of the consultation end on Friday 28 July 2017 and we will provide feedback on the findings on the consultation webpages.

# Prevention and Wellbeing Grant Fund

Many voluntary organisations have said that if they had more freedom to identify issues and develop solutions themselves, it would be more effective than the current system.

The proposed new grant fund will mean that voluntary and community sector organisations can bid to provide support for people based on their own ideas and evidence about what is needed and what works, without having to base their proposals on services specified by the council.

Any voluntary sector organisation will be able to bid into the new grant fund, including those who may face reductions as a result of the service changes proposed in our other survey.

We believe that this approach will:

- allow the council to focus its more prescriptive service on those who are most at risk of developing social care needs; and
- give the voluntary and community sector more flexibility to meet needs differently.

### Survey

# Proposed new adult social care Prevention and Wellbeing Grant Fund

Question 1: Please tell us about yourself by ticking and completing all the boxes that apply to you.

	I am completing the survey as an individual and I use these services	I am completing the survey on behalf of someone who uses these services
	(please tick all the boxes that apply, or tick the final row if you do not use any of the listed services)	(please tick all the boxes that apply, or tick the final row if the person does not use any of the listed services)
Information, advice and guidance provided by Age UK or Mosaic		
Services provided by Leicestershire Centre for Integrated Living		
Carers support from CLASP, Age UK or Ansaar		
Lunch clubs		
Stroke support from Leicester Stroke Club		
Advocacy		
Sight Loss support from Vista		
None of the services listed above apply		

# Proposed key features of the Prevention and Wellbeing Grant Fund

The grant fund will be aimed at reducing the risk of people age 18 or over from developing needs for adult social care support.

Set out below are some of the key features of the proposed grant fund:

- The overarching aim of the grant fund will be to enable Leicester's voluntary and community sector to provide activities and services for people who are at risk of developing social care needs.
- 2. Projects will be targeted at helping people who are at risk of developing social care needs to avoid or delay those needs, to maintain or improve their health and wellbeing, and to live as independently as possible.
- 3. The grant fund would be launched in 2017, with successful projects starting delivery from April 2018.
- 4. The overall funding available will be £250,000 a year.
- 5. Organisations will be able to bid for up to £10,000 per project. Each organisation will be able to make up to three bids for up to three different projects.
- 6. Bids can be made for funding for either one or two years (2018-19 and 2019-20). If a bid is made for two years, the funding for the second year will be dependent on whether the project met its objectives in the first year.
- 7. Organisations will be asked how they can make their projects sustainable after council funding ends.
- 8. Successful organisations will be asked to submit an End of Grant report. This report can include case studies, videos, quotes etc.
- 9. The council will run an event in 2019 to showcase successful projects and share learning.
- 10. There will be further rounds of funding in 2019-20 and 2020-21.
- 11. Decisions on bids will be made by a panel of council officers, supported by people who use social care and preventative services.

# Question 2: Overall do you agree with the key features of the proposed grant fund set out here?

Please tick one box:
I agree with all of the key features of the proposal
I agree with some of the key features of the proposal
I don't agree with any of the key features of the proposal
I am not sure/don't know
If you disagree, please tell us why and/or give alternative proposals:
Question 3: Which groups of people (adults 18 and over) should the grant fund seek to support (for example, people with hearing loss, or older people who are lonely and isolated). Please list who you think the main groups should be and why these groups should be included.
fund seek to support (for example, people with hearing loss, or older people who are lonely and isolated). Please list who you think the main groups
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should be tried out. Types of projects to fund and reasons why: Question 5: Should the grant fund be aimed at funding projects in specific areas of the city as well as having some city wide projects? Please tick one box and give reasons for your choice. Yes No I am not sure/don't know Please tell us if you have any other comments:

Question 4: What kinds of projects do you think the fund should seek to fund, and why? Your suggestions can include both existing projects in

Leicester or elsewhere that you think work well, or new ideas that you think

Question 6: Overall, do you agree or disagree with the proposal to set up a grant fund for adult social care prevention and wellbeing?
Please tick one box.
I agree with the proposal
I disagree with the proposal
I am not sure/don't know
Please tell us if you have any other comments:

### **Equalities monitoring**

7. Age:  under 18 18 - 25 26 - 35 36 - 45 46 - 55 56 - 65 66+  Prefer not to say
8. Gender:
Female Male Prefer not to say
9. Ethnic background:
Asian or Asian British: Bangladeshi
Asian or Asian British: Indian
Asian or Asian British: Pakistani
Asian or Asian British: Any other Asian background
Black or Black British: African
Black or Black British: Caribbean
Black or Black British: Somali
Black or Black British: Any other Black background
Chinese Chinese: Any other Chinese background
Dual/Multiple Heritage: White & Asian
Dual/Multiple Heritage: White & Black African
Dual/Multiple Heritage: White & Black Caribbean
Dual/Multiple Heritage: Any other heritage background
White: British
White: European
White: Irish
White: Any other White background
Other ethnic group: Gypsy/Romany/Irish Traveller
Other ethnic group: Any other ethnic group
Prefer not to say
If you said your ethnic group was one of the 'Other' categories, please tell us what this is:

10. How would you define your religion or belief?
Atheist Bahai Buddhist Christian Hindu Jain Jewish
Muslim Sikh No religion Prefer not to say
Any other religion (please specify)
11. Disability
The Disability Discrimination Act (DDA) defines a person as disabled if they have a physical or mental impairment which has a substantial and long-term effect on their ability to carry out normal day-to-day activities and has lasted or is likely to last for at least 12 months. Since 2005 people with HIV, cancer, multiple sclerosis (MS) and severe disfigurement are covered by the DDA.
Do you consider yourself to be a disabled person?
Yes No Prefer not to say
If you have answered <b>YES</b> to the previous above, please state the type of impairment that applies to you. People may experience more than one type of impairment, in which case you may need to tick more than one box. If none of the categories apply, please tick 'Other' and state the type of impairment.
Head Injury
Hearing (deafness, severe hearing impairment)
Learning difficulty or disability (e.g. Down's syndrome, dyslexia, autism)
Mental Health (e.g. depression, schizophrenia)
Mobility (e.g. using a wheelchair)
Physical impairment (e.g. difficulty using arms)
Visual (e.g. blindess, severe visual impairment)
Long-standing illness or health condition (e.g. cancer, HIV, diabetes, chronic heart disease, epilepsy)
Prefer not to say
Other (please specify)
12. Sexual orientation. Do you consider yourself to be
Bisexual Gay / lesbian Hetrosexual / straight Prefer not to say
Other (please specify)

# Proposed key features of the Prevention and Wellbeing Grant Fund

The grant fund will be aimed at reducing the risk of people age 18 or over from developing needs for adult social care support.

Set out below are some of the key features of the proposed grant fund:

- The overarching aim of the grant fund will be to enable Leicester's voluntary and community sector to provide activities and services for people who are at risk of developing social care needs.
- 2. Projects will be targeted at helping people who are at risk of developing social care needs to avoid or delay those needs, to maintain or improve their health and wellbeing, and to live as independently as possible.
- 3. The grant fund would be launched in 2017, with successful projects starting delivery from April 2018.
- 4. The overall funding available will be £250,000 a year.
- 5. Organisations will be able to bid for up to £10,000 per project. Each organisation will be able to make up to three bids for up to three different projects.
- 6. Bids can be made for funding for either one or two years (2018-19 and 2019-20). If a bid is made for two years, the funding for the second year will be dependent on whether the project met its objectives in the first year.
- 7. Organisations will be asked how they can make their projects sustainable after council funding ends.
- 8. Successful organisations will be asked to submit an End of Grant report. This report can include case studies, videos, quotes etc.
- 9. The council will run an event in 2019 to showcase successful projects and share learning.
- 10. There will be further rounds of funding in 2019-20 and 2020-21.
- 11. Decisions on bids will be made by a panel of council officers, supported by people who use social care and preventative services.

#### Thank you for completing the survey.

Please hand your completed survey in to any Leicester City Council library, community centre, or the Customer Service Centre, or post it to the following address. You do not need to use a stamp.

Freepost RTRZ-YSJY-BEKH VCS Consultation – Bosworth House 1F West Wing Leicester City Council Leicester LE1 5PH

Responses to the survey must reach us by Friday 28 July 2017.

# Adult Social Care Prevention and Wellbeing Grant Fund

- Projects to maintain or improve the health and wellbeing of adults who are at risk of developing social care needs
- Funded from an ASC underspend
- $\& \bullet$  £250,000 available each year for 3 years
  - Bids of up to £10,000 per project a year.
  - Maximum of three £10,000 bids a year per organisation
  - Invitation to bid Autumn 2017
  - Delivery services to be delivered from April 2018

#### Proposed new adult social care Prevention and Wellbeing Grant Fund: Summary report

This report was created on Monday 04 September 2017 at 14:54.

Question 1: Please tell us about yourself by ticking and completing all the boxes that apply to you.

The consultation ran from 14/06/2017 to 01/09/2017.

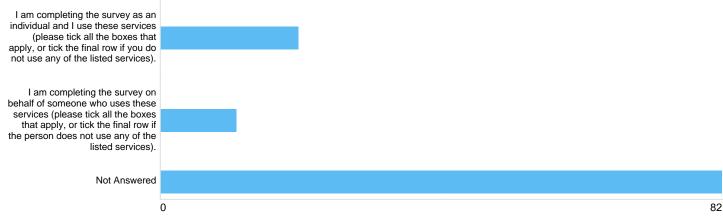
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#### Question 1: Please tell us about yourself by ticking and completing all the boxes that apply to you.

#### About you - Information, advice and guidance provided by Age UK or Mosaic



Option	Total	Percent
I am completing the survey as an individual and I use these services (please tick all the boxes that apply, or tick the final row if you do not use any of the listed services).	20	17.70%
I am completing the survey on behalf of someone who uses these services (please tick all the boxes that apply, or tick the final row if the person does not use any of the listed services).	11	9.73%
Not Answered	82	72.57%

#### About you - Services provided by Leicestershire Centre for Integrated Living



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6	Leicester	City	Co

Option	Total	Percent
I am completing the survey as an individual and I use these services (please tick all the boxes that apply, or tick the final row if you do not use any of the listed services).	6	5.31%
I am completing the survey on behalf of someone who uses these services (please tick all the boxes that apply, or tick the final row if the person does not use any of the listed services).	4	3.54%
Not Answered	103	91.15%

#### About you - Carers support from CLASP, Age UK or Ansaar



Option	Total	Percent
I am completing the survey as an individual and I use these services (please tick all the boxes that apply, or tick the final row if you do not use any of the listed services).	12	10.62%
I am completing the survey on behalf of someone who uses these services (please tick all the boxes that apply, or tick the final row if the person does not use any of the listed services).	7	6.19%
Not Answered	94	83.19%

#### About you - Lunch clubs



Option	Total	Percent
I am completing the survey as an individual and I use these services (please tick all the boxes that apply, or tick the final row if you do not use any of the listed services).	15	13.27%
I am completing the survey on behalf of someone who uses these services (please tick all the boxes that apply, or tick the final row if the person does not use any of the listed services).	4	3.54%
Not Answered	94	83.19%

#### About you - Stroke support from Leicester Stroke Club



Option	Total	Percent
I am completing the survey as an individual and I use these services (please tick all the boxes that apply, or tick the final row if you do not use any of the listed services).	3	2.65%
I am completing the survey on behalf of someone who uses these services (please tick all the boxes that apply, or tick the final row if the person does not use any of the listed services).	2	1.77%
Not Answered	108	95.58%

#### About you - Advocacy

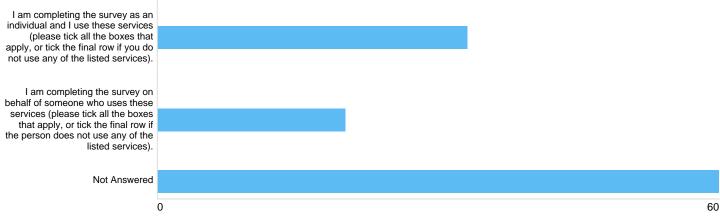


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Option	Total	Percent
I am completing the survey as an individual and I use these services (please tick all the boxes that apply, or tick the final row if you do not use any of the listed services).	9	7.96%
I am completing the survey on behalf of someone who uses these services (please tick all the boxes that apply, or tick the final row if the person does not use any of the listed services).	4	3.54%
Not Answered	100	88.50%

#### About you - Sight Loss support from Vista



Option	Total	Percent
I am completing the survey as an individual and I use these services (please tick all the boxes that apply, or tick the final row if you do not use any of the listed services).	33	29.20%
I am completing the survey on behalf of someone who uses these services (please tick all the boxes that apply, or tick the final row if the person does not use any of the listed services).	20	17.70%
Not Answered	60	53.10%

## About you - None of the services listed above apply



Ü		99
Option	Total	Percent
I am completing the survey as an individual and I use these services (please tick all the boxes that apply, or tick the final row if you do not use any of the listed services).	13	11.50%
I am completing the survey on behalf of someone who uses these services (please tick all the boxes that apply, or tick the final row if the person does not use any of the listed services).	1	0.88%
Not Answered	99	87.61%

## Service provided and name

There were 14 responses to this part of the question.

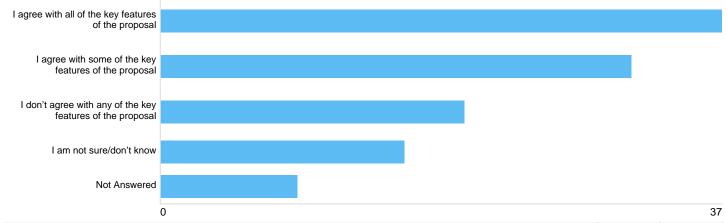
#### On behalf of an organisation not a current provider

There were 10 responses to this part of the question.

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Question 2: Overall do you agree with the key features of the proposed grant fund set out above? Please tick one box.

Do you agree with the key features of the proposed grant fund?



Option	Total	Percent
I agree with all of the key features of the proposal	37	32.74%
I agree with some of the key features of the proposal	31	27.43%
I don't agree with any of the key features of the proposal	20	17.70%
I am not sure/don't know	16	14.16%
Not Answered	9	7.96%

#### Key features proposed - why disagree?

There were 44 responses to this part of the question.

Question 3: Which groups of people (adults 18 and over) should the grant fund seek to support (for example, people with hearing loss, or older people who are lonely and isolated). Please list who you think the main groups should be and why these groups should be included.

#### Groups of people to support and reasons why:

There were 99 responses to this part of the question.

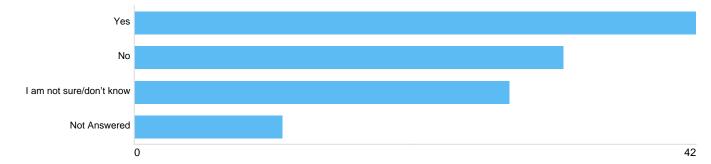
Question 4: What kinds of projects do you think the fund should seek to fund, and why? Your suggestions can include both existing projects in Leicester or elsewhere that you think work well, or new ideas that you think should be tried out.

## Types of projects to fund and reasons why

There were 87 responses to this part of the question.

Question 5: Should the grant fund be aimed at funding projects in specific areas of the city as well as having some city wide projects? Please tick one box and give reasons for your choice.

#### Specific areas of the city, yes or no?



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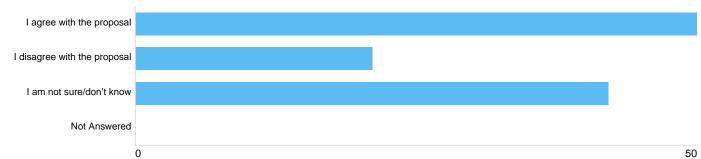
Option	Total	Percent
Yes	42	37.17%
No	32	28.32%
I am not sure/don't know	28	24.78%
Not Answered	11	9.73%

#### Reasons for targeting specific areas of the city

There were 55 responses to this part of the question.

Question 6: Overall, do you agree or disagree with the proposal to set up a grant fund for adult social care prevention and wellbeing? Please tick one box.

Overall, do you agree or disagree with the proposal to set up a grant fund for adult social care prevention and well-being?



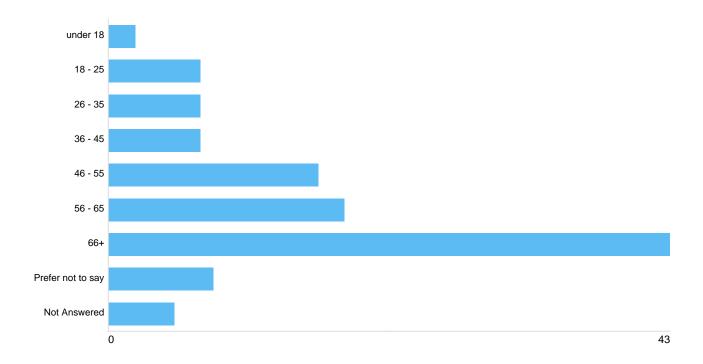
Option	Total	Percent
I agree with the proposal	50	44.25%
I disagree with the proposal	21	18.58%
I am not sure/don't know	42	37.17%
Not Answered	0	0%

#### Other comments:

There were 51 responses to this part of the question.

## Question 7: Age:

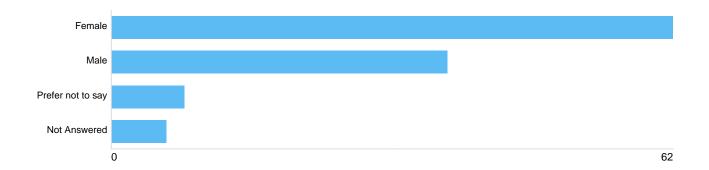
## Age



Option	Total	Percent
under 18	2	1.77%
18 - 25	7	6.19%
26 - 35	7	6.19%
36 - 45	7	6.19%
46 - 55	16	14.16%
56 - 65	18	15.93%
66+	43	38.05%
Prefer not to say	8	7.08%
Not Answered	5	4.42%

#### Question 8: Gender:

#### Gender

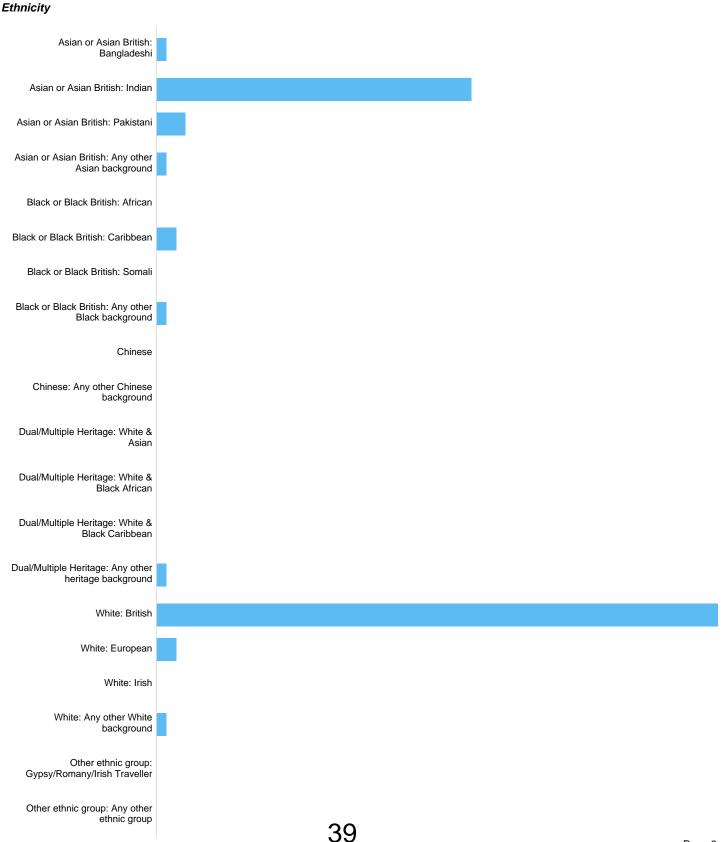


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Option	Total	Percent
Female	62	54.87%
Male	37	32.74%
Prefer not to say	8	7.08%
Not Answered	6	5.31%

## **Question 9: Ethnic background:**





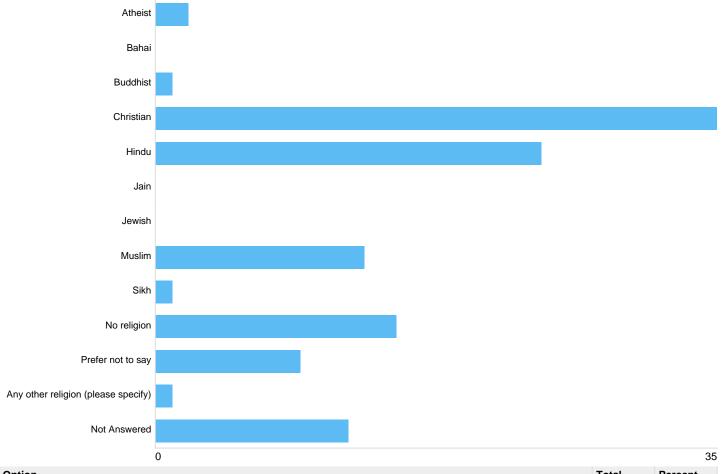
0		59
Option	Total	Percent
Asian or Asian British: Bangladeshi	1	0.88%
Asian or Asian British: Indian	33	29.20%
Asian or Asian British: Pakistani	3	2.65%
Asian or Asian British: Any other Asian background	1	0.88%
Black or Black British: African	0	0%
Black or Black British: Caribbean	2	1.77%
Black or Black British: Somali	0	0%
Black or Black British: Any other Black background	1	0.88%
Chinese	0	0%
Chinese: Any other Chinese background	0	0%
Dual/Multiple Heritage: White & Asian	0	0%
Dual/Multiple Heritage: White & Black African	0	0%
Dual/Multiple Heritage: White & Black Caribbean	0	0%
Dual/Multiple Heritage: Any other heritage background	1	0.88%
White: British	59	52.21%
White: European	2	1.77%
White: Irish	0	0%
White: Any other White background	1	0.88%
Other ethnic group: Gypsy/Romany/Irish Traveller	0	0%
Other ethnic group: Any other ethnic group	0	0%
Prefer not to say	6	5.31%
Not Answered	3	2.65%

If you said your ethnic group was one of the 'Other' categories, please tell us what this is:

There was 1 response to this part of the question.

## Question 10: How would you define your religion or belief?

## religion



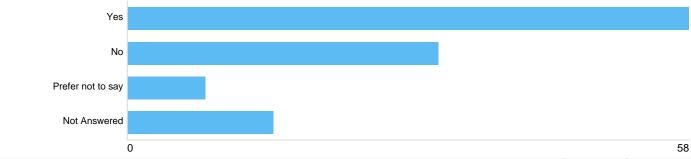
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Option	Total	Percent
Atheist	2	1.77%
Bahai	0	0%
Buddhist	1	0.88%
Christian	35	30.97%
Hindu	24	21.24%
Jain	0	0%
Jewish	0	0%
Muslim	13	11.50%
Sikh	1	0.88%
No religion	15	13.27%
Prefer not to say	9	7.96%
Any other religion (please specify)	1	0.88%
Not Answered	12	10.62%

## other religion

There were 2 responses to this part of the question.

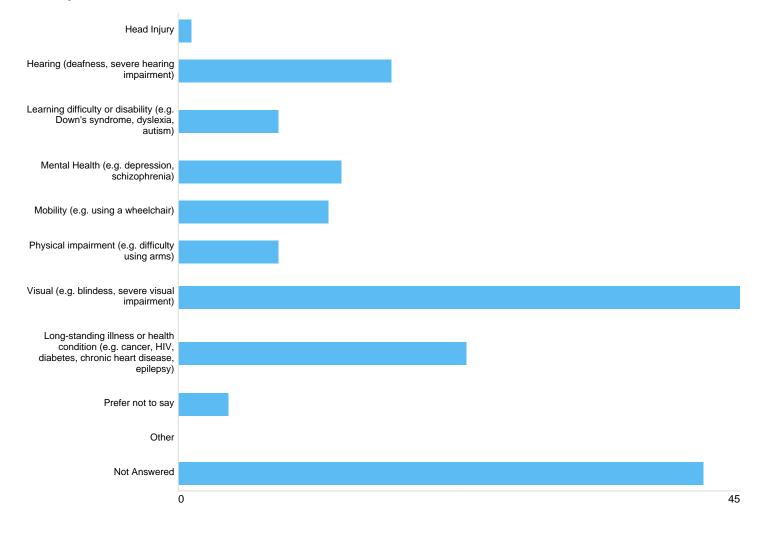
## **Question 11: Disability**

## Disability, yes or no?



Option	Total	Percent
Yes	58	51.33%
No	32	28.32%
Prefer not to say	8	7.08%
Not Answered	15	13.27%

#### Disability detail



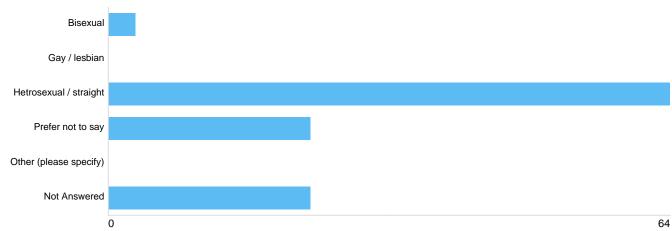
Option	Total	Percent
Head Injury	1	0.88%
Hearing (deafness, severe hearing impairment)	17	15.04%
Learning difficulty or disability (e.g. Down's syndrome, dyslexia, autism)	8	7.08%
Mental Health (e.g. depression, schizophrenia)	13	11.50%
Mobility (e.g. using a wheelchair)	12	10.62%
Physical impairment (e.g. difficulty using arms)	8	7.08%
Visual (e.g. blindess, severe visual impairment)	45	39.82%
Long-standing illness or health condition (e.g. cancer, HIV, diabetes, chronic heart disease, epilepsy)	23	20.35%
Prefer not to say	4	3.54%
Other	0	0%
Not Answered	42	37.17%

#### Other disability

There were 5 responses to this part of the question.

## Question 12: Sexual orientation. Do you consider yourself to be ...

#### sexuality



Option	Total	Percent
Bisexual	3	2.65%
Gay / lesbian	0	0%
Hetrosexual / straight	64	56.64%
Prefer not to say	23	20.35%
Other (please specify)	0	0%
Not Answered	23	20.35%

## Other sex

There was 1 response to this part of the question.

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## Draft 21 6 17

## **Adult Social Care Prevention & Wellbeing Grant Fund**

## 18th July event – Leicester Adult Education College

Audience: VCS groups in Leicester

Purpose: To involve people from the VCS in the design of the proposed Adult Social Care

Prevention & Wellbeing Grant Fund

## **Programme:**

(amend to build in when Cllr P might come & his input?)

9.30	Sign in and refreshments	
10.00	Welcome and introductions	Tracie Rees
	Presentation on the wider context of adult social care prevention and the proposed key features of the grant fund	
10.20	Table top discussions on the key features	
10.40	Feedback from discussion	
11.00	Break	
11.20	Which groups of people should projects be aimed at?	Tracie Rees
	Table top discussions	
	Feedback from discussion	
12.10	What's on the Ideas wall?	
	Closing points Next steps and thanks	

- All to have copies of slides on arrival/sign in
- Paper, pens and post-its on tables for participants to take notes of table top discussion and put ideas on the ideas wall
- 'Ideas wall' sign on one wall

## Leicester Adult Social Care Prevention and Wellbeing Grant Fund 2018-21



PREVENTING ADULTS FROM DEVELOPING SOCIAL CARE NEEDS hyperlink to fund page here

## **Guidance for applicants**

## Please read this guidance before you make an application for funding.

The council has a responsibility to prevent the development of social care needs. We provide a range of services to do this, such as housing adaptations, telecare, supported living, and enablement.

The council believes that there is also a major role for the voluntary and community sector in the prevention of social care needs.

We have therefore created the Adult Social Care Prevention and Wellbeing Grant Fund.

The purpose of the grant fund is to enable Leicester's voluntary and community sector to provide activities and services for people who are at risk of developing social care needs.

We are asking for submissions for projects targeted at helping people who are at risk to avoid or delay those needs; to maintain or improve their health and wellbeing; and to live as independently as possible.

Your application must reach us by [day, date].

## 1. The ASC Prevention and Wellbeing Fund

The total fund available for 2018-19 is £250,000. Grants from this fund will be made for eligible organisations and projects to a maximum of £10,000 per project. The grants fund is split into two pots. Applications for small grants up to £1000 are sought and there is also a pot allocated for larger grants from £1000 to a maximum of £10,000.

Organisations are permitted to submit a maximum of three bids, provided that each bid is for a separate eligible project.

Your project must start delivery between 1st April 2018 and 31st March 2019 (Year 1).

Page 1 of 13

If you would like to seek funding for a project that carries on into Year 2 (April 2019 - March 2020) you can also propose this. If so, you will need to identify separately for each of the two years:

- the number of beneficiaries you expect to support: and
- your budget .

If your bid is successful, and your proposal runs into Year 2, we will fund you to run your project for Year 1 (1st April 2018- 31st March 2019). Towards the end of Year 1 we will evaluate your project and its success and, if it was successful in Year 1 we will then release the Year 2 funding for 2019-20. If the evaluation shows it was not successful, then Year 2 funding will not be given.

It is also intended that a further round of funding will be launched during 2018 for projects starting in 2019-20. This round will be based on our overall evaluation of the Year 1 2018-19 fund.

## 2. Who can apply for funding?

You can apply for funding if you are an organisation or group with social, charitable or community-based objectives, and you are a not-for profit organisation.

If you are not a registered charity or a company limited by guarantee, you must have a formal constitution and your organisation must have a UK bank account with at least two signatories who do not live at the same address.

Who can't apply?

- Statutory Sector Organisations
- Private Sector Organisations
- Individuals

## 3. How will applications be assessed?

Applications will be assessed by an evaluation panel consisting of council officers. In addition we will invite people who use social care and preventative services to advise the panel. They will be required to act impartially, and sign a Declaration of Interests. If they do declare an interest in any of the projects or organisations who have submitted a bid they will not advise on those bids. If the advisors are associated with any organisations bidding for funding, those advisors will not be able to evaluate grant applications from those organisations.

Your responses will be given a score of 0 to 4 each, and then bids will be ranked according to their total score:

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0	poor or unsatisfactory
1	weak
2	adequate
3	good
4	very good

The decision of the evaluation panel will be final.

Your application must reach us by [day, date]. The timeline for the funding process is shown in section 8.

## 4. What is the council looking for in applications to the fund?

We are looking for proposals that are both small and/or neighbourhood based, or larger schemes. A lot of effective support can come from small initiatives, for example activities that are neighbourhood based. These activities can sometimes provide good support for people, with a relatively small amount of money. But at the same time, larger schemes may reach a wider number of people and may benefit from economies of scale in managing costs.

The council will only fund up to £10,000 per project. Any other funding required for the project will have to be found from other sources.

We will evaluate bids on the basis of your answers to the questions in the application form. All bidders will be required to demonstrate that they or their organisation meet the following criteria. If any one of the criteria is not met then your application will not be considered:

## Criteria 1: Are the people who will benefit from your project aged over 18 and living in the city of Leicester?

Your bid can only be for projects for people who live in Leicester, and who are over 18. This is because we are using Leicester City Council adult social care funding. However, you might want to propose intergenerational work between young and older people. If the main purpose of this work is to benefit older people, we would be happy to consider the proposal.

## Criteria 2: What group/s of people are you planning to aim your project at?

There is evidence that certain groups of people are particularly at risk of developing social care needs. These include older people (65 and over); people with poor physical and/or mental health; people living with dementia; long term health conditions; sight loss or hearing loss; loss of mobility; substance misuse problems; or those experiencing loneliness and social isolation. The fund is also open to groups who can provide support to carers whose health and wellbeing may be at risk because of their caring responsibilities.

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We are looking for projects that seek to support people in these groups, but we are happy to consider any project proposal that shows any good evidence of need.

Criteria 3: What evidence do you have that that these group/s of people are at risk of developing a need for social care?

We would like to know what reasons or evidence you have that the group/s of people you will be supporting are at risk of needing social care support. This may be from national evidence (please give links to the source of information). Alternatively your reasons or evidence may be from local information and knowledge, again please give sources of evidence where possible.

Criteria 4: Will your project be for people from certain areas or neighbourhoods in the Leicester or for people from anywhere in the city. If part of the city, please say why you have chosen this area/these areas.

You may want to focus your activity on certain areas of the city where you believe there are significant needs/not enough support for people who may be at risk of developing greater problems. You may be a small organisation that wants to focus on a specific neighbourhood area, or you may be seeking to support people with particular needs but who may live in any part of Leicester. We welcome proposals for all of these approaches, but please give us information as to why you are choosing your area.

Criteria 5: What kind of activity you are planning to provide for these people, and why do you think this would be an effective way of supporting them to avoid or delay the need for social care?

Please outline the activities or services you are proposing to provide with the grant funding in as much detail as possible. Broadly speaking, we would like to see proposals that meet one or both of the following aims:

Enabling people at risk of developing social care needs, including carers, to be <u>active</u>, stay well, both physically and emotionally, and maintain or improve their independence.

<u>Reducing loneliness and isolation</u> amongst people at risk of developing social care needs, including carers. Helping them to reconnect with family, friends and the community, and to make use of the facilities and support available to them in the community.

You may have ideas of your own about projects, or want to look at the wide range of ideas and schemes already around, especially where there is evidence of effectiveness in helping people avoid or reduce dependence on social care services. We would also like to receive proposals for innovative or new ideas that could inform future planning.

Criteria 6: Please explain how this activity or service will reduce social care needs.

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Please provide your ideas and evidence as to how and why you think this activity will prevent the development of adult social care needs in the group/s you are targeting. You might want to refer to national evidence, local evidence, or your own views (especially if your proposal is new or innovative).

## Criteria 7: How will you identify and engage the specific individuals that you are planning to support?

Please explain how you will make contact with the people you want to take part on your project service, and how you will encourage them to participate or use your service.

## Criteria 8: What are the start and finish dates for your service or project?

Your project or service must start in year 1 between 1<sup>st</sup> April 2018 and 31<sup>st</sup> March 2019. The end date can be within that year, or in year 2 (1<sup>st</sup> April 2019 – 31<sup>st</sup> March 2020).

## Criteria 9: How many people do you aim to benefit over the duration of the project?

Please tell us how many people you aim to support over the lifetime of your project.

If you are proposing a project that will be delivered in year 1 2018-19 <u>and year 2 2019-20</u>, please give numbers for each year.

We would like projects to support as many people as possible, but it is also important that your targets are realistic and achievable, so please don't over-estimate. A larger number of beneficiaries would be good, but also a small scale project that avoids or delays social care needs in a group of people who would otherwise be at a high risk, could be just as valuable.

# Criteria 10: Will there be any cost to people taking part, and if so what will it be, and what is the purpose of charging?

Please let us know if you will be charging people for the service, and if so how much. We would prefer services to be delivered free of charge to beneficiaries, but we know that there can be good reasons for charging. If you do intend to charge people, we will ask you to say why you are charging and require you to confirm that any income from the project and service must be used to offset the costs of the project itself. We will ask for evidence of both expenditure and income when contracts are monitored.

# Criteria 11: How will you measure the difference your project has made to the people who took part?

We would like to know how you will assess whether your project or service is helping people to maintain or improve their health and wellbeing and/or to reduce social isolation and be more connected to the community. In most cases the best approach is to ask people taking part whether or not their health and wellbeing has improved as a result of the project or whether they feel more Page 5 of 13

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socially connected (depending on the aim of the project). This could be done either through a short questionnaire or interview, or discussion group. We suggest that you utilise a monitoring tool such as the Short Warwick-Edinburgh Mental Wellbeing Scale to monitor beneficiaries' wellbeing. You may also decide to ask an independent person to carry out this evaluation on behalf of your organisation so that participants or service users can speak freely. Hyperlink to SWEMWBS tool here http://www2.warwick.ac.uk/fac/med/research/platform/wemwbs/

All successful organisations will be asked to complete an end of grant form before final grant payments are made [can we withhold any payment till this is received?]. The form includes questions asking for your evidence that the project or service made a difference to the people who received it. The End of Grant form is available on the ASC Prevention and Wellbeing Fund web page.

Criteria 12: How will you help people to access your project if they might experience barriers to being included, such as disability, language barriers, learning disability, caring responsibilities etc.?

It is important that your project is accessible to everyone you are seeking to engage and support. Please identify how you will ensure your project avoids discrimination and promotes equality through the way in which it is delivered.

Criteria 13: Do you have any proposals about how you could sustain the project after this funding ends, and if so what are they?

This has two aspects:

- How will support to your project beneficiaries be maintained when the project finishes? Is it
  intended that they will have become enabled to support themselves or find support from
  elsewhere by the end of the project? If so, please say how.
- Will you be seeking further funding from elsewhere? If so, please give as much detail as you can at this stage.

## 5. What can and cannot be funded

The majority of the funding should be to fund direct project activities and can only be used for recovery of all the eligible, direct costs of the project, including but not limited to:

- Seasonal staff costs directly related to the activity in this application.
- Overhead costs directly related to the activity in this application.
- Staff training and recruitment where this is directly related to the activity in this application.
- Monitoring and evaluation of the activities described in this application.
- Marketing and publicity of the activities described in this application.

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- Professional and legal fees associated with expenditure on this project or activity.
- Consumable items like paper, stationery, blank discs and refreshment where these purchases do not exceed 10% of the overall cost of the grant requested.
- Rent directly related to the activity in this application.
- Utilities bills directly related to the activity in this application.
- Insurance costs where this is directly related to the activity in this application.
- Non-recoverable VAT costs directly related to the activity in this application.
- Salaries and other costs e.g. National Insurance, pensions, travelling and subsistence expenses for people directly working within the project or activity, including volunteer expenses.

## What the Grant won't pay for

- Activities that do not demonstrate how, if successful, they could be sustained.
- Items that benefit an individual rather than delivering project outcomes.
- Any item or activity which someone else has agreed to pay for or for which funding has already been secured.
- Travel outside the UK.
- Funds to build up a profit or surplus, or loan repayments.

## The Grant **CANNOT** be used for:

- Any items which could be sold at the end of the project for profit; this includes vehicles, building purchases, computers or office furniture.
- Redundancy or TUPE costs.
- Routine repairs, maintenance or any other general improvements.
- Building refurbishments and major building costs.
- Recoverable VAT costs or VAT paid on items that are zero rated.

## 6. Acknowledgement of Leicester City Council funding

You will have to agree to make it clear in any information and publicity about your project or service that it is funded by Leicester City Council, and display the Leicester City Council logo.

## 7. End of Grant report

All successful organisations will be asked to complete an end of grant form before final grant payments are made [can we withhold any payment till this is received? The form includes questions asking for your evidence that the project made a difference to the people who received it. The End of Grant form is available on the ASC Prevention and Wellbeing Fund webpage.

## 8. What happens when you have made an application?

- 3 August 2018 Deadline for applications
- 17 August 2018 We will contact you to confirm that we have received your application.
- **17 August 28 September 2018** The *ASC Prevention and Wellbeing Grant Fund* panel will carry out evaluations of all applications. Applications will be assessed by an evaluation panel consisting of council officers.

In addition we will invite people who use social care and preventative services to advise the panel. They will be required to act impartially, and sign a Declaration of Interests. If they do declare an interest in any of the projects or organisations who have submitted a bid they will not advise on those bids. If the advisors are associated with any organisations bidding for funding, those advisors will not be able to evaluate grant applications from those organisations.

**1 October 2018** We will contact you to let you know the decision. The decision is final. If your application is successful, we will arrange for the contract to be issued. Two copies of the grant agreement will be sent to you. Please ensure that you return one signed copy to us as soon as possible, as no payment of the grant can be made until it is received. Once the signed copy of the grant agreement is received, we will release the funds in accordance with the terms and conditions of your grant agreement. CaAs/legal to advise once contract developed with legal

**Date November 2018** We will publish information on the *ASC Prevention and Wellbeing Grant Fund* web page hyperlink about the projects that we have agreed to fund.

From January 2019 You will start your project. We will monitor whether the project and funding is delivering what was agreed. We will contact you to arrange for you to provide evidence of expenditure, such as invoices and receipts. We will need evidence that the activity has taken place as set out in your application and that the funding is being spent as agreed. If this is not the case we may claw back funding as appropriate or withhold future payments until evidence is provided.

When your project ends, we will request your End of Grant report. The End of Grant report form can be found at the ASC Prevention and Wellbeing Grant Fund webpage hyperlink.

We also will check that Leicester City Council has been acknowledged clearly as having funded the project and that the Leicester City Council logo has been used on information or publicity.

When the project is completed and the monitoring shows that it was satisfactory, final payment will be made

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If the activity has **not** been carried out as agreed, or if there is no evidence that the outcomes were achieved, or you cannot provide evidence of expenditure, we will exercise the right to withhold the final payment..

If your project extends beyond 1 January 2020 – into Year 2 we will decide whether to release year 2 funding on the basis of our evaluation of your Year 1 delivery.

## 9. Contact Us

Enquiries can be made to:

Email ASCPreventionGrants@leicester.gov.uk

Address ASC Prevention Grants, Strategic Commissioning Team, Leicester City Council,

1st floor, Bosworth House, 9-15 Princess Road West, LEICESTER LE1 6TH

## Leicester Adult Social Care Prevention and Wellbeing Grant Fund 2018-21

PREVENTING ADULTS FROM DEVELOPING SOCIAL CARE NEEDS hyperlink for fund page here



Final form will be a separate document pdf with fillable fields

## **Application Form**

## Please read the guidance before you make an application for funding.

This guidance and application form, along with other information about the fund is available on the ASC Prevention and Wellbeing Grant Fund webpage <a href="https://hyperlink.">hyperlink</a>. You can complete this form electronically and save it to your device, then send it to us by email. This is the method we prefer. Alternatively you can print it and fill it in by hand and post it to us. If you do this please write clearly in black ink.

## The deadline for applications is [day, date].

We are seeking applications for projects that will start in 2018-19.

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If you need more space please expand the boxes if you are filling the form in online or add more sheets if you are sending your application by post. Please answer all the questions as any applications that are incomplete cannot be considered. Do not exceed the word limits where they are included.

## [Form to be formatted]

- 1. Title of your project
- 2. Name of your group or organisation
- 3. Organisation's main address
- 4. Contact details: Main contact / second contact
- 5. Is your organisation a registered charity? Yes / No. If yes, please provide your registration number and confirm your registered address, if different to your response to question 2.
- 6. Is your organisation a company limited by guarantee? Yes / No
- 7. If 'no' to x or y above, does your organisation have a constitution Yes/ No.
- 8. Please provide a copy of your constitution with your application. If your organisation does not have a constitution, we will contact you if your bid is successful to ask for a copy before any funding is released. Please tick one box below as appropriate:

I have sent a copy of the organisation's constitution with this application

I will send a copy of the organisation's constitution if my bid is successful

- 9. Are you receiving funding from any other organisation for this project? Yes / No
- 10. If yes please give details below, including amount awarded.

'Criteria' questions below word limit=500 to be put in each response box

- 11. Are the people who will benefit from your support aged over 18 and living in the city of Leicester? Yes/No
- 12. What group/s of people are you planning to provide your project to?
- 13. What evidence do you have that that these group/s of people are at risk of developing a need for social care?
- 14. Will your project be for people from certain areas or neighbourhoods in the Leicester or for people from anywhere in the city. If part of the city, please say why you have chosen this area/these areas.

Whole of Leicester

Part of Leicester, please say where

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Reasons (250 word limit)

- 15. What kind of activity you are planning to provide for these people, and why do you think this would be an effective way of supporting them to avoid or delay the need for social care?
- 16. Please explain how this activity or service will reduce social care needs.
- 17. How you will identify and engage the specific individuals that you are planning to support?
- 18. What are the start and finish dates for your service or project?
- 19. How many people do you aim to benefit over the duration of the service or project?

Year 1 2018-19

Year 2 2019-20 (if applicable)

- 20. Will there be any cost to people taking part, and if so what will it be, and what is the purpose of charging?
- 21. How you will measure the difference your project has made to the people who took part?
- 22. How will you help people to access your project or service if they might experience barriers to being included, such as disability, language barriers, learning disability, caring responsibilities etc.?
- 23. Do you have any proposals about how you could sustain the project after this funding ends, and if so what are they?
- 24. Do you agree to make it clear in any information and publicity about your project that it is funded by Leicester City Council, and display the Leicester City Council logo. Yes / No
  - 25. Does your project involve work with vulnerable adults and/or work with children/young people?

Yes / No

If yes, those directly involved will have to have undertaken a Disclosure and Barring Service (DBS) check. Please provide names of those involved and certificate numbers of DBS checks in the box below.

#### Add more rows if needed

Name	DBS certificate number

26: Budget: Please provide a breakdown of the costs of your project. Please be as precise as you can in describing costs and how they are calculated.

#### Add more rows if needed

W4 0040 40	
Year 1 2018-19	
1001 1 2010 10	

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Item	Cost £s
Example: 1 trainer to train volunteers working 2 hours per week x 12 weeks at £10 an hour.	£240
Total cost of project Year 1 2018-19	
Amount you are requesting from the ASC Prevention Fund	

Year 2 2019-20 If applicable. If you are not proposing a project that runs into year 2 please leave blank	
Item	Cost £s
Example: 1 trainer to train volunteers working 2 hours per week x 12 weeks at £10 an hour.	£240
Total and after two and the same of the sa	
Total cost of project Year 2 2019-20	
Amount you are requesting from the ASC Prevention Fund	

- 26. Is your organisation registered for VAT? Yes / No
- 27. Please provide your bank details. You must have a UK bank account with at least two signatories who do not live at the same address

Account name	
Sort Code	
Account number	
Bank or building society name and ad	dress
Bank or building society name and ad	dress

## **Declaration**

I have read and understand the ASC Prevention and Wellbeing Grant Fund - Guidance for Applicants and I agree to abide by the arrangements set out in the guidance and in the terms of the grant agreement, if awarded. I confirm that the information I have given on this form is true and that I am authorised by the organisation to submit this bid on their behalf. I will inform the council immediately if any of the information I have given on the form changes.

Signature	
Name	
Organisation	
Date	

## Sending your application

You can send your application by email or by post. You can complete this form electronically and save it to your device, then send it to us by email. This is the method we prefer. Alternatively you can print it and fill it in by hand and post it to us. If you do this please write clearly in black ink. Please make sure you have filled in all sections of the application.

## The deadline for us to receive your applications is [day, date].

**Email** ASCPreventionGrants@leicester.gov.uk

**Address** ASC Prevention Grants, Strategic Commissioning Team, Leicester City Council, 1st floor, Bosworth House, 9-15 Princess Road West, LEICESTER LE1 6TH

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